

# Optimal control of hybrid automata: design of a semiactive suspension

Daniele Corona\*, Alessandro Giua, Carla Seatzu

*Dipartimento di Ingegneria Elettrica ed Elettronica, Università di Cagliari, Piazza d'Armi, 09123 Cagliari, Italy*

Received 1 October 2003; accepted 27 March 2004

## Abstract

The optimal control of switched linear autonomous systems with quadratic performance index over infinite time horizon is considered. The decision variables are the switching times and the mode sequence, under the following constraints: the mode sequence has finite length; an automaton restricts the possible switches within adjacent locations, with a cost associated to each switch; the time interval between two consecutive switches is greater than a fixed quantity. A state-feedback solution is computed off-line through a numerical procedure. The method is extended to the case of infinite number of switches.

This procedure is used to design a semiactive suspension system of a quarter-car. Each linear dynamics correspond to a given value of the damping coefficient  $f$ .

© 2004 Elsevier Ltd. All rights reserved.

*Keywords:* Hybrid systems; Switched systems; Hybrid automata; Optimal control; Semiactive suspensions

## 1. Introduction

In this paper the attention is focused on a particular class of hybrid systems, namely *switched systems*. The main feature of switched systems is that they may switch between many operating modes, where each mode is governed by its own characteristic dynamical law (Antsaklis, 2000). In particular, a switched linear systems composed by autonomous dynamics is considered and also some physical constraints of practical relevance are taken into account. Assume that an upper bound on the maximum number  $N$  of available switches is imposed. Then it is shown how, under reasonable assumptions, the proposed procedure can be extended to the case of  $N = \infty$  and successfully apply this approach to the design of a semiactive suspension system.

### 1.1. The optimal control problem

The problem of determining optimal control laws for switched systems has been widely investigated in the last years and many results can be found in the control and computer science literature. For continuous-time hybrid systems (this is the class considered in this paper) most of the literature is focused on the study of necessary conditions for a trajectory to be optimal (Piccoli, 1999; Sussmann, 1999), and on the computation of optimal/suboptimal solutions by means of dynamic programming or the maximum principle (Branicky et al., 1998; Gokbayrak & Cassandras, 1999; Hedlund & Rantzer, 1999; Riedinger et al., 1999; Xu & Antsaklis, 2002). Optimal control of discrete-time hybrid systems is tackled in Bemporad et al. (2002a).

In Giua et al. (2001a) the case of switched linear systems composed of stable autonomous dynamics is considered, with pre-assigned switching sequence (thus the only decision variables are the switching times). It has been proved that the optimal control law is a state-feedback and there exists a numerically viable procedure to compute the switching tables  $\mathcal{C}_k$  showing the points

\*Corresponding author. Tel.: +39-070-675-5773; fax: +39-070-675-5782.

*E-mail addresses:* [daniele.corona@diee.unica.it](mailto:daniele.corona@diee.unica.it) (D. Corona), [giua@diee.unica.it](mailto:giua@diee.unica.it) (A. Giua), [seatzu@diee.unica.it](mailto:seatzu@diee.unica.it) (C. Seatzu).

of the state space where the next optimal switch should occur when  $k$  switches of a sequence of length  $N$  are still available. In Bemporad et al. (2002b) this optimization problem by taking both the switching times and the switching sequence as decision variables is generalized. The approach in Bemporad et al. (2002b) is still based on the construction of “switching tables”. Using a simple procedure inspired by dynamic programming, it is shown how it is possible to avoid the exponential growth of the computational cost as the length of the switching sequence is increased.

This paper is built on the results presented in Bemporad et al. (2002b) and extend the state-feedback control technique based on the construction of “switching tables” to also deal with constraints of practical relevance (Bemporad et al., 2003).

*Constraint 1:* The switching sequence is subject to logical constraints of the type: if  $i_k = i$  then  $i_{k+1} \in \text{succ}(i)$ , where  $i_k$  is the index denoting the active dynamics at step  $k$ . This means that from dynamics  $i$  not all other dynamics can be reached with a simple switch, but only those whose index belongs to a given set, the set of successors of  $i$ , namely  $\text{succ}(i)$ . This may be described by an automaton where to each state is associated a dynamics, and to each transition a switch.

*Constraint 2:* Once entered in a location  $i$  the system cannot leave it before a time  $\delta_{\min}(i)$  has elapsed. This is a common constraint in many real applications:  $\delta_{\min}$  may be the time necessary to control an actuator, or it may be the scan time of a PLC that triggers the switches.

Note that if the automaton describing the allowed mode switches is strongly connected, then from each state it may be possible to reach all other states with a sequence of one or more transitions. Without constraint 2 more than one transition may be executed in zero time, thus practically making constraint 1 meaningless.

The main advantages of the proposed procedure may be briefly summarized as follows:

- it is guaranteed to find the optimal solution under the given constraints;
- it has a computational complexity of the order  $\mathcal{O}(r^{n-1}Ns^2)$  (if a switch may occur at no cost) or  $\mathcal{O}(r^nNs^2)$  (if a cost is associated to a switch), where  $n$  is the dimension of the state space,  $r$  is the number of samples in each direction,  $N$  is the length of the switching sequence and  $s$  is the number of possible operating modes;
- it provides a “global” closed-loop solution, i.e., the tables may be used to determine the optimal state feedback law for all initial states.

Another important contribution of this paper is that the case of an *infinite* number of switches is studied. In detail it is shown that it is possible to still compute a feedback control law using appropriate switching tables. These tables are computed with the procedure proposed for a

finite value of  $N$ , provided that  $N$  is a large enough number.

## 1.2. The semiactive suspension design

A semiactive suspension (Giua et al., 1999; Göring et al., 1993; Kitching et al., 2000; Roberti et al., 1993) consists of a spring and a damper but, unlike a passive suspension, the value of the damper coefficient  $f$  can be controlled and updated. In some types of suspensions, but this case is not considered here, it may also be possible to control the elastic constant  $\lambda_s$  of the spring.

A semiactive suspension is a valid engineering solution—when it can reasonably approximate the performance of the active control—because it requires a low power controller that can be easily realized at a lower cost than that of a fully active one (Corriga et al., 1991; Hac, 1985). Note, however, that a semiactive system clearly lacks other important secondary advantages of the fully active one, namely the ability to resist downward static forces due to passenger and baggage loads and to control the altitude of the vehicle.

The optimal control technique known as LQR (Ogata, 1990) is probably the simplest way to design an active law for suspension systems and such an idea has been initially proposed by Thompson (1976). In such a case the objective is that of minimizing a given performance index, that consists of a quadratic cost. The control input is the value  $u(t)$  of the force generated by the suspension. The optimal law takes the form of a state feedback law with constant gains, i.e.,  $u(t) = -Kx(t)$ , where  $x(t)$  is the state of the system.

In this paper a semiactive suspension system is designed, assuming that the damping coefficient  $f(t)$  may take any value within a finite set  $\mathcal{F} = \{f_1, f_2, \dots, f_s\}$ , where  $f_1 < f_2 < \dots < f_s$ . The resulting model is a hybrid system where a different location corresponds to each value of  $f$ . The control input is now the discrete switch: appropriately change the value of  $f$ , switching from a location to another one, with the objective of minimizing a given performance index, that consists of a quadratic cost. Even in this case, the optimal law takes the form of a state feedback law: in fact in the paper it is shown that the optimal switch can be triggered by only looking at the current state  $x(t)$ .

As in Giua et al. (2004) it is assumed that the time required to update the damping coefficient is  $\delta_{\min}$ . Furthermore, within this time it is only possible to pass to adjacent values of  $f$ , i.e., if  $f(t) = f_i$  then  $f(t + \delta_{\min}) \in \{f_{i-1}, f_i, f_{i+1}\}$ .

The results of some numerical simulations show that the proposed semiactive suspension system always provides a good approximation of a fully active suspension system, while producing significant improvements with respect to purely passive suspensions.

The paper is structured as follows. In Section 2 the hybrid automata model that describes the class of switching systems considered in this paper is presented. In Section 3 the optimization problem is stated. In Section 4 it is shown how the approach presented in (Bemporad et al., 2002b) may be extended to take into account the presence of the new constraints. The computational complexity of the approach is presented in Section 5. In Section 6 it is discussed how the proposed approach can be efficiently used in the case of an infinite number of switches. Finally, in Section 7 it is detailed how the procedure can be applied to design a semiactive suspension system.

## 2. The hybrid automata model

A hybrid automaton (HA) consists of a classic automaton extended with a continuous state  $x \in \mathbb{R}^n$  that may continuously evolve in time with arbitrary dynamics or have discontinuous jumps at the occurrence of a discrete event (Nicollin et al., 1993). In this paper the attention is focused on a particular class of HA, that can be called *switched linear systems*. Consider a structure  $H = (L, act, E, M)$  defined as follows.

- $L$  is a finite set of locations.
- $act : L \rightarrow Diff\_Eq$  is a function that associates to each location  $l_i \in L$  a linear differential equation of the form  $\dot{x} = act_i(x) = A_i x$ .
- $E \subset L \times L$  is the set of edges. An edge  $e = (l_i, l_j)$  is an edge from location  $l_i$  to  $l_j$ ,  $i \neq j$ .
- $M : E \rightarrow \mathbb{R}^{n \times n}$  associates to each edge  $e \in E$  a constant matrix in  $\mathbb{R}^{n \times n}$ . When the discrete state switches from  $l_i$  to  $l_j$  at time  $\tau$ , the continuous state  $x$  is set to  $x(\tau^+) = M_{i,j} x(\tau^-)$ .<sup>1</sup>

The state of the HA is the pair  $(l, x)$  where  $l \in L$  is the discrete location and  $x \in \mathbb{R}^n$  is the continuous state. The hybrid automaton starts from some initial state  $(l_0, x_0)$ . The trajectory evolves with the location remaining constant and the continuous state  $x$  evolving according to the  $act$  function at that location. When at time  $\tau$  a switch is made to location  $l_i$  the continuous state is initialized to a new value  $x(\tau^+) = M_{i_0, i_1} x(\tau^-)$ . The new state is the pair  $(l_i, x(\tau^+))$ . The continuous state now moves with the new differential equation.

The classic definition of HA (Nicollin et al., 1993) is more general than the one considered here because: an invariant set may be associated to each location; the activity set may be a differential inclusion rather than a

linear differential equation; guards are associated to transitions; the jump relation may be arbitrary and not necessarily defined by a matrix  $M$ .

## 3. Optimal control problem

The problem of designing an optimal control policy for a hybrid automaton  $H = (L, act, E, M)$  as defined in the previous section is the objective of this paper. Let  $s = |L|$  be the number of discrete locations and  $\mathcal{S} \triangleq \{1, 2, \dots, s\}$  be a finite set of integers, each one associated with a discrete location. The index  $i$  identifies the location  $l_i$  and consequently the linear dynamics  $A_i$ . Assume that a positive semi-definite matrix  $Q_i$  is associated to each discrete location  $l_i \in L$  and a cost  $H_{i,j}$  is associated to a switch from  $l_i$  to  $l_j$ .

Define the set  $\text{succ}(i) = \{j \in \mathcal{S} : (l_i, l_j) \in E\}$  which denotes the indices associated to the locations reachable from  $l_i$ , and  $\delta_{\min}(i)$  which is the minimum permanence time in  $l_i$ .

For such a class of hybrid systems solve the following optimal control problem

$$\begin{aligned}
 V_N^* &\triangleq \min_{I, \mathcal{T}} \{F(I, \mathcal{T}) \\
 &\triangleq \int_0^\infty x'(t) Q_{i(t)} x(t) dt + \sum_{k=1}^N h_k(\tau_k)\} \\
 \text{s.t. } \dot{x}(t) &= A_{i(t)} x(t), \quad x(0) = x_0, \quad i(0) = i_0 \\
 i(t) &= i_k \quad \text{for } \tau_k \leq t < \tau_{k+1}, \quad k = 0, \dots, N \\
 \tau_0 &= 0, \tau_{N+1} = +\infty \\
 \tau_{k+1} &\geq \tau_k + \delta_{\min}(i_k), \quad k = 0, \dots, N \\
 i_k &\in \text{succ}(i_{k-1}), \quad k = 1, \dots, N \\
 x(\tau_k^+) &= M_{i_{k-1}, i_k} x(\tau_k^-), \quad k = 1, \dots, N \\
 h_k(\tau_k) &= H_{i_{k-1}, i_k} \text{ if } \tau_k < +\infty, \\
 h_k(\tau_k) &= 0 \text{ if } \tau_k = +\infty, \quad k = 1, \dots, N
 \end{aligned} \tag{1}$$

The initial state  $x_0$  and the initial location  $i_0$  are given.

The control variables are  $\mathcal{T} \triangleq \{\tau_1, \dots, \tau_N\}$  and  $I \triangleq \{i_1, \dots, i_N\}$ , where  $\mathcal{T}$  is the set of switching times and  $I$  is the sequence of indices associated with discrete locations. Assume that the maximum number  $N$  of allowed switches is fixed a priori.

The cost  $F(I, \mathcal{T})$  consists of two components: a quadratic cost that depends on the time evolution (the integral) and a cost that depends on the switches (the sum). Note that  $\tau_k < +\infty$  means that the  $k$ th switch occurs after a finite amount of time, while  $\tau_k = +\infty$  means that the  $k$ th switch does not occur: in the latter case  $h_k(\tau_k) = 0$  thus its cost is not considered.

Denote by  $i^*(t) = i_k^*$  for  $\tau_k^* \leq t < \tau_{k+1}^*$  the switching trajectory solving (1), and  $I^*, \mathcal{T}^*$  the corresponding optimal sequences.

<sup>1</sup>Note that a *linear* state jump is considered. This framework is powerful enough to model several interesting cases: projection, stretching/contraction of the norm, change of coordinates and, obviously, state continuity, obtained by using  $M = I$  (the identity matrix).

In order to make the problem solvable with finite cost  $V_N^*$ , assume the following:

**Assumption 1.** There exists at least one index  $i \in \mathcal{S}$  such that  $A_i$  is strictly Hurwitz and  $N$  is such that the location  $i_0$  may be reached from  $i_0$  in  $k \leq N$  steps.

Define  $\delta_k = \tau_{k+1} - \tau_k$ . The optimal control problem (1) may also be rewritten as

$$\begin{aligned} \min_{I, \mathcal{F}} & \left\{ \sum_{k=0}^N x_k' \bar{Q}_{i_k}(\delta_k) x_k + \sum_{k=1}^N h_k(\tau_k) \right\} \\ \text{s.t. } & x_{k+1} = M_{i_k, i_{k+1}} \bar{A}_{i_k}(\delta_k) x_k, \quad k = 0, \dots, N-1 \\ & x_0 = x(0), \quad i_0 = i(0) \\ & i_k \in \text{succ}(i_{k-1}), \quad k = 1, \dots, N \\ & \delta_k \geq \delta_{\min}(i_k), \quad k = 0, \dots, N \end{aligned} \quad (2)$$

where

$$\bar{A}_i(\delta_k) \triangleq e^{A_i \delta_k}, \quad (3)$$

$$\begin{aligned} \bar{Q}_i(\delta_k) & \triangleq \left( \int_{\tau_k}^{\tau_{k+1}} e^{A_i(t-\tau_k)} Q_i e^{A_i(t-\tau_k)} dt \right) \\ & = \left( \int_0^{\delta_k} e^{A_i t} Q_i e^{A_i t} dt \right), \end{aligned} \quad (4)$$

thus  $\bar{Q}_i(\delta_k)$  can be obtained by simple integration and linear algebra. When  $A_i$  is asymptotically stable it is possible to write  $\bar{Q}_i(\delta_k) = Z_i - \bar{A}_i'(\delta_k) Z_i \bar{A}_i(\delta_k)$ , where  $Z_i$  is the unique solution of the Lyapunov equation  $A_i' Z_i + Z_i A_i = -Q_i$  (Giua et al., 2001b).

#### 4. State-feedback control law

The optimal control law for the optimization problem described in the previous section takes the form of a state-feedback, i.e., it is only necessary to look at the current system state  $x$  in order to determine if a switch from location  $i_{k-1}$  to  $i_k$ , or equivalently from linear dynamics  $A_{i_{k-1}}$  to  $A_{i_k}$ , should occur.

In particular, for a given mode  $i \in \mathcal{S}$  when  $k$  switches are still available, it is possible to construct a table  $\mathcal{C}_k^i$  that partitions the state space  $\mathbb{R}^n$  into  $s_i$  regions  $\mathcal{R}_j$ 's, where  $s_i = |\text{succ}(i)| + 1$ . Whenever  $i_{N-k} = i$  table  $\mathcal{C}_k^i$  is used to determine if a switch should occur: as soon as the state reaches a point in the region  $\mathcal{R}_j$  for a certain  $j \in \text{succ}(i)$  the system will switch to mode  $i_{N-k+1} = j$ ; on the contrary, no switch will occur while the system's state belongs to  $\mathcal{R}_i$ .

This is an important result because it is well known that a state-feedback control law has many advantages over an open-loop control law, including that the computation of the control law can be done off-line as opposed to being performed on-line. On-line computations are burdensome, especially if a disturbance acting

on the system may cause the system state to deviate from its expected value.

To prove this result, it is shown constructively how the tables  $\mathcal{C}_k^i$  can be computed using a dynamic programming argument. First it is shown how the tables  $\mathcal{C}_1^i$  ( $i \in \mathcal{S}$ ) for the last switch can be determined. Then, it is shown by induction how the tables  $\mathcal{C}_k^i$  can be computed once the tables  $\mathcal{C}_{k-1}^i$  are known.

For sake of brevity, in the rest of this section only a short description of the procedure is given. The complete derivation can be found in Giua et al. (2001b) and Bemporad et al. (2002b).

##### 4.1. Computation of the tables for the last switch

Assume that  $i_{N-1} = i$ , i.e., after  $N-1$  switches the current system dynamics is that corresponding to matrix  $A_i$ , and the current state vector is  $y$  with  $\|y\| = 1$ . Compute now the table  $\mathcal{C}_1^i$ .

The optimal remaining cost starting from  $y$  will consist of two terms: a term due to the time-driven evolution, plus (if the  $N$ th switch occurs and  $i_N = j$ ) the switching cost  $H_{i,j}$ .

- First consider the case in which no switch occurs. The remaining cost starting from  $y$  is only due to the time-driven evolution and is

$$F_0^*(y, i) = y' \bar{Q}_i(+\infty) y. \quad (5)$$

- If the system evolves with dynamics  $A_i$  for a time  $\varrho$  and then a switch to  $A_j$  ( $j \in \text{succ}(i)$ ) occurs, the remaining cost starting from  $y$  only due to the time-driven evolution (disregarding the switching cost) is

$$\begin{aligned} T_1(y, i, \varrho, j) \\ = y' \bar{Q}_i(\varrho) y + y' \bar{A}_i'(\varrho) M_{i,j}' \bar{Q}_j(+\infty) M_{i,j} \bar{A}_i(\varrho) y. \end{aligned} \quad (6)$$

Note that  $T_1$  assumes that a switch can be done after a time interval  $\varrho = 0$ , i.e., the constraint about the minimum sojourn time in  $i$  has already been fulfilled.

Consider now any other vector  $x$  such that  $x = \lambda y$ , with  $\lambda \in \mathbb{R}$ . One can compute for this new vector the equivalent of (5) and (6), i.e.,

$$F_0^*(x, i) = \lambda^2 F_0^*(y, i). \quad (7)$$

It is possible to discuss separately two cases.

- If all switching costs are null, the optimal remaining cost starting from  $x$  and allowing at most one switch is

$$F_1^*(x, i) = \lambda^2 \min_{j \in \{\text{succ}(i), i\}} \min_{\varrho \geq 0} \{T_1(y, i, \varrho, j)\}. \quad (8)$$

In general the argument that minimizes (8) may be not unique. To uniquely choose one optimal argument, one can impose the following lexicographic ordering. Let  $(\varrho, j)$  and  $(\varrho', j')$  be two different optimal arguments of (8): say that  $(\varrho, j) \prec (\varrho', j')$  if  $\varrho > \varrho'$  or, in case of  $\varrho = \varrho'$ ,

$j < j'$ . One can always chose the optimal argument  $(\varrho, j)$  such that  $(\varrho, j) \prec (\varrho', j')$  for all other optimal arguments  $(\varrho', j')$ .

Denoting  $(\varrho^*(x, i), j^*(x, i))$  the optimal argument of (8), obviously, being  $x = \lambda y$  it also holds that

$$(\varrho^*(x, i), j^*(x, i)) = (\varrho^*(y, i), j^*(y, i)).$$

Thus, the optimal switch from mode  $i$  to mode  $j^*(y, i)$  should occur after a delay  $\varrho^*(y, i)$ .

One can say that a vector  $x = \lambda y$  belongs to  $\mathcal{R}_j$  ( $j \in \text{succ}(i)$ ) if and only if  $j = j^*(y, i)$  and  $\varrho^*(y, i) = 0$ , because in this case the optimal remaining cost can be obtained switching to mode  $j$  with no delay.

Finally,  $\mathcal{R}_i = \mathbb{R}^n \setminus \bigcup_{j \in \text{succ}(i)} \mathcal{R}_j$ . Since the value of  $\varrho^*(x, i)$  does not depend on  $\lambda$ , it immediately follows that these regions are homogeneous,<sup>2</sup> i.e., if  $x \in \mathcal{R}_j$  then  $\lambda x \in \mathcal{R}_j$ , for all real numbers  $\lambda$ . This property may be exploited in the construction of the table since it is only necessary to compute  $F_1^*(y, i)$  and  $\varrho^*(y, i)$  for all vectors  $y$  that belong to the unitary semi-sphere.

- Assume that not all  $H_{i,j}$  (this is the cost of switching from mode  $i$  to mode  $j$ ) are null and define  $H_{i,j} = 0$ . Taking into account the switching cost, the optimal remaining cost starting from  $x$  and allowing at most one switch is

$$F_1^*(x, i) = \min_{j \in \{\text{succ}(i), i\}} \min_{\varrho \geq 0} \{\lambda^2 T_1(y, i, \varrho, j) + H_{i,j}\}. \quad (9)$$

The couple that minimizes the above equation is  $(\varrho^*(x, i), j^*(x, i))$ , uniquely determined using the previous lexicographic ordering. Thus the optimal switch should occur after a delay  $\varrho^*(x, i)$ .

One can say that a vector  $x$  belongs to  $\mathcal{R}_j$  ( $j \in \text{succ}(i)$ ) if and only if  $j = j^*(x, i)$  and  $\varrho^*(x, i) = 0$ . Finally,  $\mathcal{R}_i = \mathbb{R}^n \setminus \bigcup_{j \in \text{succ}(i)} \mathcal{R}_j$ . In this case it is not sufficient to compute  $F_1^*(y, i)$  and  $\varrho^*(y, i)$  for all vectors  $y$  that belong to the unitary semi-sphere but a grid overall the state space is needed.

#### 4.2. Computation of the tables for the intermediate switches

It is possible to generalize the previous approach to determine the tables  $\mathcal{C}_k^i$ , for  $k = 2, \dots, N$ .

Assume that: (a) the tables  $\mathcal{C}_{k-1}^i$  for all  $i \in \mathcal{S}$  are computed; (b) for each vector  $x$  and each mode  $i \in \mathcal{S}$  the optimal cost  $F_{k-1}^*(x, i)$  for the remaining time-driven evolution that starts from  $x$  with dynamics  $A_i$  and allows  $k - 1$  more switches is known.

With the same argument of the previous subsection one can write that

$$F_k^*(x, i) = \min_{j \in \{\text{succ}(i), i\}} \min_{\varrho \geq 0} \{T_k(x, i, \varrho, j) + H_{i,j}\}, \quad (10)$$

<sup>2</sup>A term also used to define the special form of these regions is *conic*.

where

$$T_k(x, i, \varrho, j) = x' \bar{Q}_i(\varrho)x + x'_j(\varrho) \bar{Q}_j(\delta_{\min}(j))x_j(\varrho) + F_{k-1}^*(\bar{A}_j(\delta_{\min}(j))x_j(\varrho), j) \quad (11)$$

and  $x_j(\varrho) = M_{i,j} \bar{A}_i(\varrho)x$ . Each member of the sum that defines  $T_k(x, i, \varrho, j)$  has the following physical meaning: the first one is the cost of the evolution in the current location  $l_i$  for a time  $\varrho$ , the second one is the cost of the minimum permanence  $\delta_{\min}(j)$  in the successive location  $l_j$ , the third one is the optimal remaining cost from point  $\bar{A}_j(\delta_{\min}(j))x_j(\varrho)$  to infinity and its value has been determined at the previous step of the algorithm. One is able to compute the table  $\mathcal{C}_k^i$ , as done before: if all switching costs are null it is sufficient to sample only along the unitary semi-sphere, otherwise it is necessary to grid all the state space.

#### 4.3. Computation of the table for the initial mode

An additional degree of freedom that one may want to exploit is that of choosing the initial location, i.e., assuming that only the initial continuous state  $x(0) = x_0$  is given.

To decide the optimal initial location  $l_{i_0}$  one may use the knowledge of the costs  $F_N^*(\cdot, i)$  that are evaluated during the construction of the tables  $\mathcal{C}_N^i$ ,  $i \in \mathcal{S}$ . Define the cost

$$F_{N+1}^*(x) = \min_{i \in \mathcal{S}} \{x' \bar{Q}_i(\delta_{\min}(i))x + F_N^*(\bar{A}_i(\delta_{\min}(i))x, i)\},$$

where the argument of the minimization is the optimal global cost over the infinite time horizon starting from point  $x$  and constrained to location  $l_i$  for at least a  $\delta_{\min}(i)$  amount of time. Thus a new table  $\mathcal{C}_{N+1}$  showing a partition of the state space  $\mathbb{R}^n$  into  $s$  regions  $\mathcal{R}_1, \mathcal{R}_2, \dots, \mathcal{R}_s$  is constructed.

Each region in this table is defined as follows:

$$\mathcal{R}_i = \{x \mid F_N^*(x, i) = F_{N+1}^*(x)\},$$

i.e., if the initial state belongs to region  $\mathcal{R}_i$  one must choose  $i_0 = i$  to minimize the total cost.

### 5. Computational complexity

It follows a discussion about the computational complexity involved in the construction of the tables with the approach sketched in the previous section.

If the state space is  $\mathbb{R}^n$  and one takes  $r$  samples along each direction, then the computational complexity for constructing each table using the algorithm given by Giua et al. (Giua et al., 2001a, b) is  $\mathcal{O}(r^{n-1})$  if all switching costs are null, because the table contains two regions that can be determined by solving a one-parameter optimization problem for each vector  $y$  on the unitary semi-sphere. On the contrary, if not all

switching costs are null the complexity is  $\mathcal{O}(r^n)$  because it is necessary to grid all the state space.

For sake of simplicity, consider a problem formulation in which all switching costs are null. The complexity of solving the optimal control problem for a pre-assigned sequence of length  $N + 1$  is  $\mathcal{O}(Nr^{n-1})$ , because for each switch a new table must be determined.

Using the algorithm given in the previous section, for each switch it is necessary to compute  $s$  tables, one for each discrete location. Furthermore the complexity of computing the tables  $\mathcal{C}_k^i$  is equal to  $\mathcal{O}((s_i - 1)r^{n-1})$ . In fact each table contains  $s_i$  regions that can be determined solving  $s_i - 1$  one-parameter optimization problems for each vector  $y$  on the unitary semi-sphere. Thus the complexity of solving the optimal control problem (1) for a sequence of length  $N$  is  $\mathcal{O}(Nr^{n-1} \sum_{i=1}^s (s_i - 1)) \leq \mathcal{O}(Nr^{n-1} s^2)$ , because  $s_i \leq s$ .

Finally, if not all switching costs are null, following the same argument one can immediately show that the complexity is  $\mathcal{O}(Nr^n s^2)$ . In any case, the complexity is quadratic in the number of possible locations.

Solving by brute force an optimal control problem of the form (1) by investigating all admissible switching sequences (they are  $(s - 1)^N$  in the worst case) the complexity becomes  $\mathcal{O}(Nr^{n-1} s^N)$  or  $\mathcal{O}(Nr^n s^N)$  depending on the presence of switching costs.

### 6. An infinite number of switches

In this section it is discussed how, under appropriate assumptions, the above procedure can be efficiently extended to the case of  $N = \infty$ . Consider an optimal control problem of the form (1) where

- (i) for all  $i \in \mathcal{S}$ , the linear dynamics  $A_i$  is stable;
- (ii) no cost is associated to switches, i.e.,  $H_{i,j} = 0$  for all  $i, j \in \mathcal{S}$ ;
- (iii) the state  $x$  is continuous, i.e.,  $M_{i,j} = I_n$  for all  $i, j \in \mathcal{S}$ , where  $I_n$  denotes the  $n$ th order identity matrix.
- (iv) for all  $i \in \mathcal{S}$ ,  $Q_i > 0$ .

An obvious monotonicity result is preliminary stated.

**Property 1.** *Let  $N, N' \in \mathbb{N}$ . If  $N < N'$  and the switched system evolves along an optimal trajectory, then for any initial state  $(x_0, i_0)$ ,*

$$+\infty > V_N^*(x_0, i_0) \geq V_{N'}^*(x_0, i_0).$$

**Proof.** First observe that by assumption (i)  $V_N^*(x_0, i_0)$  is finite for any  $N \geq 1$ . Now, prove the second inequality by contradiction. Assume that  $V_{N'}^*(x_0, i_0) > V_N^*(x_0, i_0)$ . Then it is obvious that the same evolution that generates  $V_N^*(x_0, i_0)$  is also admissible for (1) when a larger value

$N'$  of switches is allowed. This leads to a contradiction.  $\square$

**Proposition 1.** *For any initial state  $(x_0, i_0)$ ,  $x_0 \neq 0$ , and  $\forall \varepsilon' > 0$ ,  $\exists \bar{N} = \bar{N}(x_0, i_0)$  such that for all  $N > \bar{N}$ ,  $V_N^*(x_0, i_0) - V_{\bar{N}}^*(x_0, i_0) < \varepsilon'$ .*

**Proof.** First observe that by assumptions (iii) and (iv)  $V_N^*(x_0, i_0)$  is lower bounded by a strictly positive number. Then, the result trivially follows from the monotonicity property above and the fact that  $V_N^*$  is lower bounded.  $\square$

**Proposition 2.** *For any initial state  $(x_0, i_0)$ ,  $x_0 \neq 0$ , and  $\forall \varepsilon > 0$ ,  $\exists \bar{N}$  such that for all  $N > \bar{N}$ ,*

$$\frac{V_N^*(x_0, i_0) - V_{\bar{N}}^*(x_0, i_0)}{V_{\bar{N}}^*(x_0, i_0)} < \varepsilon.$$

**Proof.** Under the assumption (ii) the optimal costs are quadratic functions of  $x_0$ , i.e., if  $x_0 = \lambda y_0$ , then  $V_N^*(\lambda y_0, i_0) = \lambda^2 V_N^*(y_0, i_0)$  and  $V_{\bar{N}}^*(\lambda y_0, i_0) = \lambda^2 V_{\bar{N}}^*(y_0, i_0)$ . Moreover, by Proposition 1  $\forall (y_0, i_0)$  and  $\forall \varepsilon' > 0$ ,  $\exists \bar{N}(y_0, i_0)$  such that  $\forall N > \bar{N}(y_0, i_0)$ ,  $V_N^*(y_0, i_0) - V_{\bar{N}}^*(y_0, i_0) < \varepsilon'$ . Hence define

$$\bar{N} = \max_{i_0 \in \mathcal{S}, y_0: \|y_0\|=1} \bar{N}(y_0, i_0)$$

it holds that

$$\begin{aligned} & \frac{V_N^*(x_0, i_0) - V_{\bar{N}}^*(x_0, i_0)}{V_{\bar{N}}^*(x_0, i_0)} \\ &= \frac{\lambda^2 [V_N^*(y_0, i_0) - V_{\bar{N}}^*(y_0, i_0)]}{\lambda^2 V_{\bar{N}}^*(y_0, i_0)} \\ &\leq \frac{\varepsilon'}{\min_{y_0: \|y_0\|=1} V_{\bar{N}}^*(y_0, i_0)} = \varepsilon. \quad \square \end{aligned}$$

According to the above result, one may use a given relative tolerance  $\varepsilon$  to approximate two cost values, i.e.,

$$\frac{V_N^*(x, i) - V_{N'}^*(x, i)}{V_{N'}^*(x, i)} < \varepsilon \Rightarrow V_N^*(x, i) \cong V_{N'}^*(x, i).$$

Hence, one can now prove the main result of this section.

**Theorem 1.** *Given a fixed relative tolerance  $\varepsilon$ , if  $\bar{N}$  is chosen as in Proposition 2 then for all  $N > \bar{N} + 1$  it holds that  $\mathcal{C}_N^i = \mathcal{C}_{\bar{N}+1}^i$ .*

**Proof.** By definition  $V_k^*(x_0, i_0) = F_k^*(x_0, i_0)$  for all  $k \geq 1$ , hence from equations (10) and (11) it follows that

$$\begin{aligned} V_N^*(x_0, i_0) &= \min_{j \in \{\text{succ}(i_0), i_0\}} \min_{\varrho \geq 0} \{x'_0 \bar{Q}_i(\varrho) x_0 \\ &+ x'(\varrho) \bar{Q}_j(\delta_{\min}(j)) x(\varrho) \\ &+ V_{N-1}^*(\bar{A}_j(\delta_{\min}(j)) x(\varrho), j)\}, \end{aligned}$$

where  $x(q) = \bar{A}_{i_0}(q)x_0$ . Now, being by assumption  $N - 1 > \bar{N}$ , by virtue of Proposition 2 one may approximate

$$V_{N-1}^*(\bar{A}_j(\delta_{\min}(j))x(q), j) \cong V_{\bar{N}}^*(\bar{A}_j(\delta_{\min}(j))x(q), j)$$

thus

$$\begin{aligned} V_N^*(x_0, i_0) &\cong \min_{j \in \{\text{succ}(i_0), i_0\}} \min_{q \geq 0} \{x'_0 \bar{Q}_{i_0}(q)x_0 \\ &+ x'(q) \bar{Q}_j(\delta_{\min}(j))x(q) \\ &+ V_{\bar{N}}^*(\bar{A}_j(\delta_{\min}(j))x(q), j)\} \\ &= V_{\bar{N}+1}^*(x_0, i_0). \end{aligned}$$

Therefore, the optimal arguments  $(q^*, j^*)$  used to compute  $\mathcal{C}_N^i$  and  $\mathcal{C}_{\bar{N}+1}^i$  are the same.  $\square$

The above result allows one to compute with a finite procedure the optimal tables for a switching law when  $N$  goes to infinity. In such a case, in fact, it holds that

$$\mathcal{C}_\infty^i = \lim_{N \rightarrow \infty} \mathcal{C}_N^i = \mathcal{C}_{\bar{N}+1}^i.$$

Hence, one only needs to use the tables  $\mathcal{C}_\infty^i, i \in \mathcal{S}$  for all switches.

To construct the tables  $\mathcal{C}_\infty^i$  the value of  $\bar{N}$  is needed. So far any analytical way to compute  $\bar{N}$  is provided, therefore the approach consists in constructing tables until a convergence criterion is met.

Finally, recall that under the assumptions (i) to (iv), the system, optimally controlled with an infinite number of switches, is stable as proved in Giua et al. (2001b).

## 7. Semiactive suspension design

In this section it is shown how the proposed methodology can be successfully applied to the design of a semiactive suspension system.

### 7.1. Dynamical models of the suspension system

Consider a quarter car suspension system and derive two different dynamical models. The first one is a two-degrees of freedom fourth order dynamical model that takes into account the dynamics of the tire. The second one is a one-degree of freedom second order dynamical model that neglects the effect of the tire.

While the second order model allows one to study the filtering properties of the suspension in terms of passenger comfort, it does not describe the interaction of the tire with the suspended mass and the ground, and thus it cannot be used to evaluate other important features such as road holding and rideability.

From a tutorial point of view, however, the reduced order model is extremely useful, because it is possible to give a geometrical representation of the optimal switching regions, thus providing a more intuitive explanation

of the proposed approach. This is the main reason that led to consider both models.

#### 7.1.1. The fourth order dynamical model

Consider the completely active suspension system of a quarter car with two degrees of freedom schematized in Fig. 1a. The following notation is used:

- $M_w$  is the equivalent unsprung mass consisting of the wheel and its moving parts;
- $M_s$  is the sprung mass, i.e., the part of the whole body mass and the load mass pertaining to only one wheel;
- $\lambda_t$  is the elastic constant of the tire, whose damping characteristics have been neglected. Note that this is in line with almost all researchers who have investigated synthesis of active suspensions for motor vehicles as the tire damping is minimal;
- $x_1(t)$  is the deformation of the suspension with respect to (wrt) the static equilibrium configuration, taken as positive when elongating;
- $x_2(t)$  is the vertical absolute velocity of the sprung mass  $M_s$ ;
- $x_3(t)$  is the deformation of the tire wrt the static equilibrium configuration, taken as positive when elongating;
- $x_4(t)$  is the vertical absolute velocity of the unsprung mass  $M_w$ ;
- $u(t)$  is the control force produced by the actuator.

It is readily shown that the state variable mathematical model of the system under study is given by (Corriga et al., 1991)

$$\dot{x}(t) = \bar{A}x(t) + \bar{B}u(t), \tag{12}$$

where  $x(t) = [x_1(t), x_2(t), x_3(t), x_4(t)]^T$  is the state, and the constant matrices  $\bar{A}$  and  $\bar{B}$  have the following structure:

$$\bar{A} = \begin{bmatrix} 0 & 1 & 0 & -1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -\lambda_t/M_w & 0 \end{bmatrix},$$

$$\bar{B} = \begin{bmatrix} 0 \\ 1/M_s \\ 0 \\ -1/M_w \end{bmatrix}.$$

Now, consider Fig. 1b that represents a conventional semiactive suspension composed of a spring and a damper with adaptive characteristic coefficient  $f = f(t)$ .

The effect of this suspension is equivalent to that of a control force

$$u_s(t) = -[\lambda_s \ f(t) \ 0 \ -f(t)]x(t). \tag{13}$$

Note that, as  $f$  may vary,  $u_s(t)$  is both a function of  $f(t)$  and of  $x(t)$ . It is immediate to verify that the state variable mathematical model of the semiactive

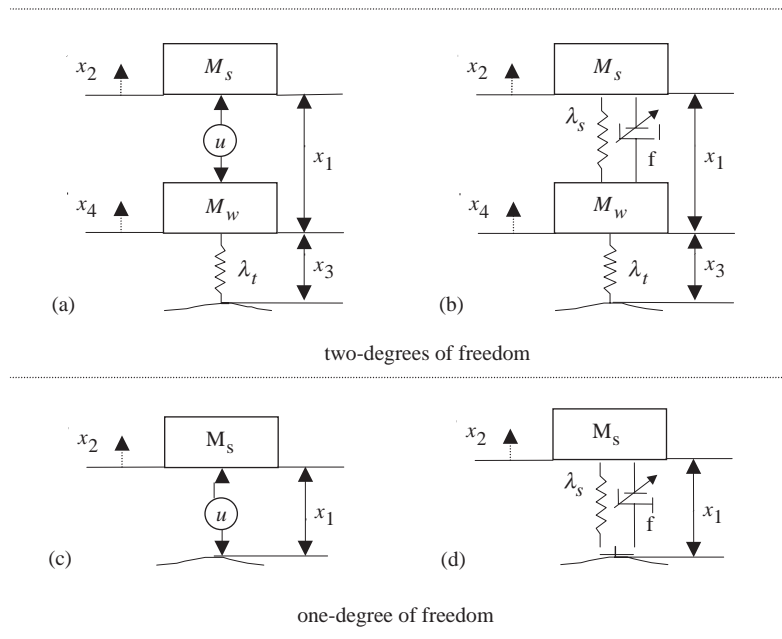


Fig. 1. Scheme of the two degrees-of-freedom suspension: (a) active suspension and (b) semiactive suspension. Scheme of the one degree-of-freedom suspension and (c) active suspension and (d) semiactive suspension.

suspension is still given by equation (12) where  $u(t)$  is replaced by  $u_s(t)$ . Therefore, in such a case the system dynamics is regulated by the following state equation:

$$\dot{x}(t) = Ax(t) = \begin{bmatrix} 0 & 1 & 0 & -1 \\ -\lambda_s/M_s & -f(t)/M_s & 0 & f(t)/M_s \\ 0 & 0 & 0 & 1 \\ \lambda_s/M_w & f(t)/M_w & -\lambda_t/M_w & -f(t)/M_w \end{bmatrix} \times x(t) \tag{14}$$

7.1.2. The second order dynamical model

If the dynamics of the tire is completely neglected, the suspension system of a quarter car can be schematized as shown in Figs. 1c and d. More precisely, Fig. 1c provides the scheme of a completely active suspension system, while figure d provides the scheme of a semiactive suspension system, where the physical meaning of all variables is the same as in the two-degrees of freedom case.

The state variable mathematical model of the active system is still given by a linear equation of the form (12), where the state is  $x(t) = [x_1(t), x_2(t)]^T$ , and the constant matrices  $\bar{A}$  and  $\bar{B}$  have the following structure:

$$\bar{A} = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \quad \bar{B} = \begin{bmatrix} 0 \\ 1/M_s \end{bmatrix}.$$

The effect of the semiactive suspension is equivalent to that of a control force

$$u_s(t) = -[\lambda_s \quad f(t)]x(t). \tag{15}$$

Thus, the system dynamics of a semiactive suspension is regulated by the following state equation:

$$\dot{x}(t) = Ax(t) = \begin{bmatrix} 0 & 1 \\ -\lambda_s/M_s & -f(t)/M_s \end{bmatrix} x(t). \tag{16}$$

7.2. Semiactive suspension design

It is discussed here how the proposed methodology can be successfully used to design a semiactive suspension system.

As already said in the Introduction, it is assumed that the value of the damping coefficient  $f$  may take values within a finite set  $\mathcal{F} = \{f_1, f_2, \dots, f_s\}$  where  $f_1 < f_2 < \dots, f_s$ . The value of  $f$  in  $\mathcal{F}$  is selected so as to minimize a given performance index, consisting of a quadratic cost depending on the time evolution.

Moreover, assume that:

- (A1) the state is measurable,
- (A2) whenever  $f$  is updated, its value remains the same within a given time interval  $\delta_{\min}$ , that does not depend on the current value of  $f$ ,
- (A3) if at time  $t$  the damping coefficient is updated to  $f(t) = f_i \in \mathcal{F}$ , and at least one more switch is available, then at time  $t + \delta_{\min}$  the value of  $f$  may either remain the same or it may switch to an “adjacent” value, namely,

$$f(t + \delta_{\min}) \in \begin{cases} \{f_i, f_{i+1}\} & i = 1 \\ \{f_{i-1}, f_i, f_{i+1}\} & i = 2, \dots, s - 1. \\ \{f_{i-1}, f_i\} & i = s \end{cases} \tag{17}$$

Note that in a first approximation, assumption (A2) enables one to take into account the fact that the damping coefficient  $f$  cannot be updated at an arbitrarily high frequency. Clearly, the amplitude of the time interval  $\delta_{\min}$  depends on the particular physical damper. As an example, in the case of a solenoid valve damper (Giua et al., 2004; Sammier et al., 2002), under the above assumption (A2) an admissible value is  $\delta_{\min} = 7$  ms (Giua et al., 2004). If the assumption (A3) is removed, and it is assumed that the value of  $f$  may arbitrarily change from any value to any other one, a larger  $\delta_{\min}$  should be considered, e.g.,  $\delta_{\min} = 30$  ms (Giua et al., 2004).

Under the assumptions (A1) to (A3), the considered optimal control problem can be written as:

$$\begin{aligned}
 V_N^* &\triangleq \min_{I, \mathcal{F}} \left\{ F(I, \mathcal{F}) \triangleq \int_0^\infty x'(t) Q_{i(t)} x(t) dt \right\} \\
 \text{s.t. } &\dot{x}(t) = A_{i(t)} x(t), \quad x(0) = x_0, \quad i(0) = i_0 \\
 &i(t) = i_k \quad \text{for } \tau_k \leq t < \tau_{k+1}, \quad k = 0, \dots, N \\
 &\tau_0 = 0, \tau_{N+1} = +\infty \\
 &\tau_{k+1} \geq \tau_k + \delta_{\min}, \quad k = 0, \dots, N \\
 &i_k \in \text{succ}(i_{k-1}), \quad k = 1, \dots, N \\
 &x(\tau_k^+) = x(\tau_k^-), \quad k = 1, \dots, N
 \end{aligned} \tag{18}$$

where matrices  $A_{i(t)}$  are uniquely defined given the value of  $f$  according to Eqs. (14) or (16), depending on the considered dynamical model. More precisely, to each value of  $f$  in  $\mathcal{F}$  it corresponds a matrix  $A(f(t))$  that specifies the discrete state (location) of the hybrid system.

Note that the optimal control problem (18) is a particular case of (1) where no cost is associated to switches, the state  $x$  is continuous, and the minimum permanence time in discrete locations is the same for all locations.

Moreover, given the assumption (A3), the automaton showing all the allowed switches takes the structure of a birth-death process and is shown in Fig. 2.

It follows a presentation of the results of some numerical simulations carried out on both the second order and the fourth order dynamical system. Assume first that a finite number  $N$  of switches is available, then remove this assumption, allowing the system to perform an infinite number of switches.

### 7.3. Application example

The proposed procedure has been applied to the quarter car suspension shown in Fig. 1, with values of



Fig. 2. The hybrid automaton that defines the mode switching.

the parameters taken from Giua et al. (1999) namely,  $M_w = 28.58$  kg,  $M_s = 288.90$  kg,  $\lambda_s = 14345$  N/m, and  $\lambda_t = 155900$  N/m.

Assume that the damping coefficient  $f$  may take values within the finite set  $\mathcal{F}[\text{Ns/m}] = \{800, 1500, 2300, 3000\}$ , while the minimum permanence time is equal to  $\delta_{\min} = 7$  ms.

### 7.4. Simulations on the second order model

The results of some numerical simulations carried out on the second order dynamical model of the suspension system are presented here.

A different weighting matrix is associated to each discrete location, or equivalently to each value of  $f$ . In particular,

$$\begin{aligned}
 Q_{i(t)} &= Q(f(t)) \\
 &= \text{diag}\{1, 0\} + 0.8 \cdot 10^{-9} \\
 &\quad \times [\lambda_s f(t)]^T \cdot [\lambda_s f(t)].
 \end{aligned}$$

In such a way, by virtue of Eq. (15), one can perform a significant comparison, in terms of performance index, among the proposed semiactive suspension and an active suspension system, considered as a target, and obtained by solving an LQR problem where  $Q = \text{diag}\{1, 0\}$  and  $R = 0.8 \times 10^{-9}$ . Note that the numerical values of the weighting matrices  $Q$  and  $R$  are the same as those already considered in (Giua et al., 1999).

Simulation 1:  $N = 6$ .

A finite number  $N = 6$  of switches is available. The  $N \times s$  switching tables are evaluated off-line. A state space discretization of  $r = 100$  points along the unitary semisphere and a minimum local search over three time constants were considered sufficiently fine.

The initial state is  $x_0 = [0.1 \ 0]^T$  and  $i_0 = 1$ .

The state trajectory that minimizes the performance index is depicted in Fig. 3, where the circle indicates the initial state and the squares indicate the values of the state at the switching times. The algorithm found out  $\mathcal{F}^*(s) = \{0.096, 0.1370, 0.222, 0.473, 0.482, 0.646\}$ ,  $\mathcal{J}^* = \{1, 2, 3, 4, 3, 2, 3\}$ , and  $J^* = 1.419 \times 10^{-3}$ .

Fig. 4 shows, among the 24 tables constructed, only the 6 ones used by the controller during the evolution of the system. The system initially evolves in location  $l_1$ . When the minimum permanence time  $\delta_{\min}$  has elapsed, the controller must keep checking the color in table  $\mathcal{C}_6^1$  (see Fig. 4) corresponding to the current state  $x$ . According to this color the controller decides whether to remain in  $l_1$  or to switch to the adjacent location  $l_2$ . In this case, no switch occurs until a time  $\tau_1 = 0.096$  s has elapsed, when the continuous state reaches the cyan area relative to location  $l_2$ . Now the controller will wait for the minimum permanence time and then consider table  $\mathcal{C}_5^2$ . The same procedure is repeated until all the available switches are performed.

Note that, given the structure of the automaton, while the switching tables associated to discrete locations  $l_2$  and  $l_3$  may have up to 3 colors, the tables associated to locations  $l_1$  and  $l_4$  may have at most two different colors.

To better appreciate the performance of the proposed semiactive suspension it is necessary to look at the time evolution of the sprung mass displacement. This curve is reported in Fig. 5a where one can also visualize the evolution of the fully active suspension considered as a target, and that of a completely passive suspension obtained using a value of  $f = 1918 \text{ Ns/m}$  (Corriga et al., 1996).

In Fig. 5b are reported the different values of the damping coefficient  $f$  during the simulation.

In Table 1 the values of the quadratic performance index obtained using the active suspension (considered as a target), the semiactive suspension in the case of

$N = 6$  ( $i_0 = 1$  in all cases), and the passive suspension system obtained using  $f = 1918 \text{ Ns/m}$  are compared.

The results of Table 1, together with the results of other numerical simulations that have not been reported here for sake of brevity, enable one to conclude that the proposed semiactive suspension exhibits a behaviour that is intermediate between that of the passive suspension and the considered active one, even if a small number of switches is allowed.

Simulation 2:  $N = \infty$ .

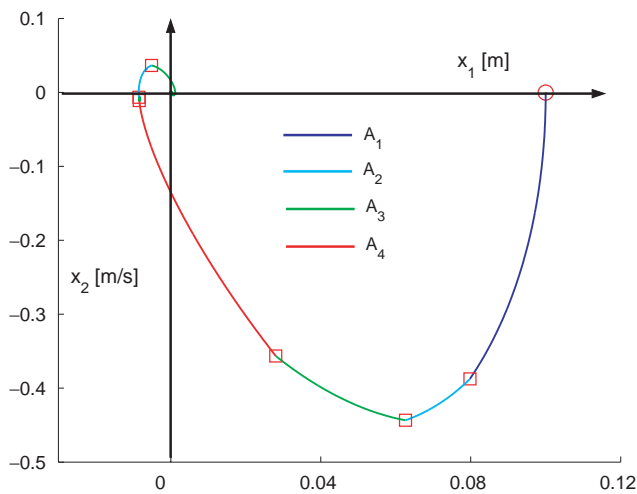


Fig. 3. The results of Simulation 1: the state trajectory.

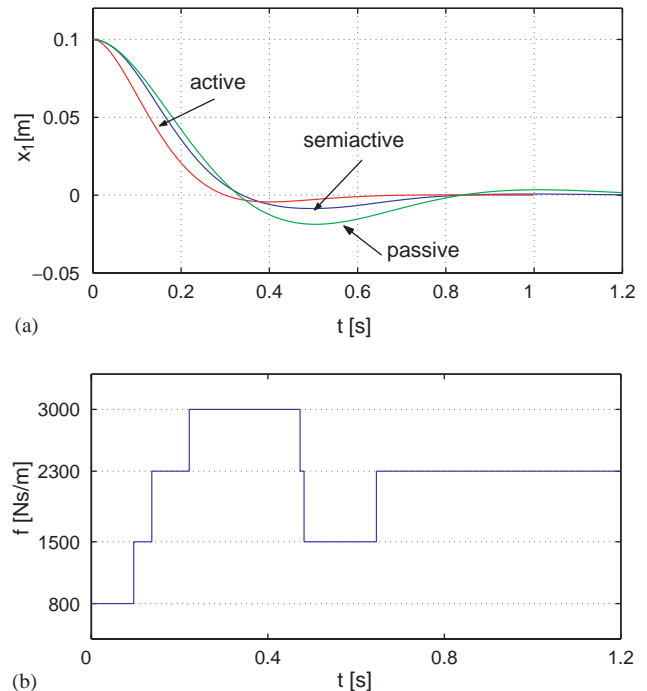


Fig. 5. The results of Simulation 1: (a) the time evolution of the sprung mass displacement and (b) the different values of  $f$  used by the semiactive suspension.

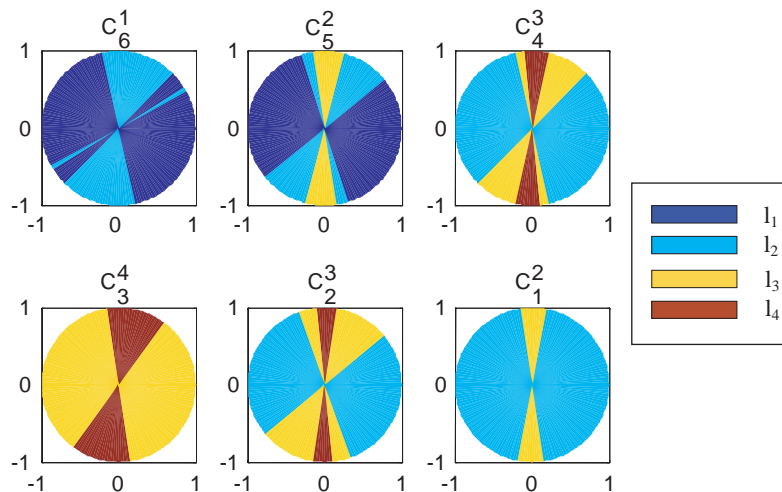


Fig. 4. Tables used by the controller to compute the state evolution in Fig. 8.

Table 1

Different values of the performance index in the case of some numerical simulations carried out on the second order model

$x_0$	Semiactive ( $N = 6$ )	Semiactive ( $N = \infty$ )	Active	Passive
$[0.100 \ 0.000]^T$	$1.419 \times 10^{-3}$	$1.419 \times 10^{-3}$	$1.278 \times 10^{-3}$	$1.546 \times 10^{-3}$
$[0.045 \ 0.090]^T$	$3.960 \times 10^{-4}$	$3.959 \times 10^{-4}$	$3.294 \times 10^{-4}$	$4.189 \times 10^{-4}$
$[-0.015 \ 0.100]^T$	$1.493 \times 10^{-5}$	$1.492 \times 10^{-5}$	$1.437 \times 10^{-5}$	$1.905 \times 10^{-5}$
$[-0.057 \ 0.080]^T$	$3.719 \times 10^{-4}$	$3.717 \times 10^{-4}$	$3.506 \times 10^{-4}$	$4.114 \times 10^{-4}$

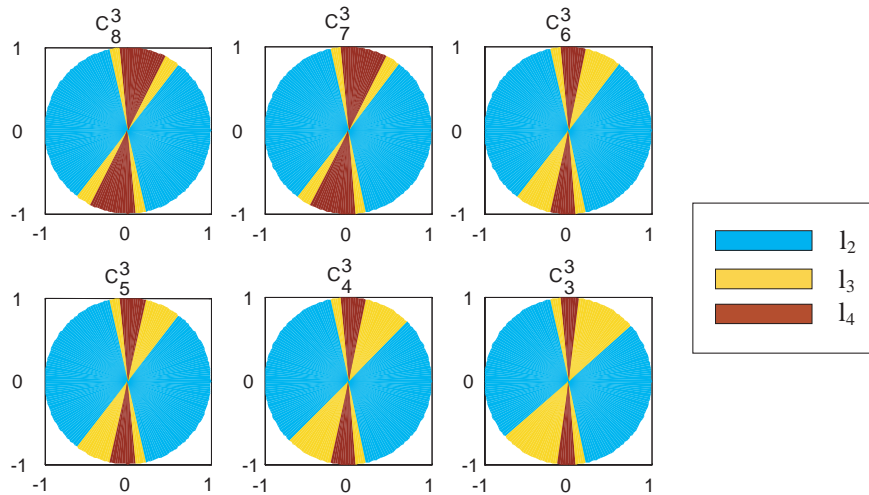


Fig. 6. The first 6 switching tables for location  $l_3$  and  $N = 8$ .

As already discussed in Section 6, for a sufficiently large value of  $N$ , the tables relative to the first switches always converge to the same one, only depending on the discrete location  $l \in L$ .

As an example, assume  $N = 8$  and consider the discrete location  $l_3$ . The tables relative to the first 6 switches, namely  $\mathcal{C}_k^3, k = 3, \dots, 8$ , are reported in Fig. 6. One may observe that, as the number of available switches increases, i.e.,  $k$  goes from 3 to 8, the tables converge. In particular, in this case the tables relative to the first two switches, namely  $\mathcal{C}_8^3$  and  $\mathcal{C}_7^3$ , are the same. Now, for a larger value of  $N$ , e.g.  $N = 9$  (10), by looking at the tables relative to location  $l_3$ , one may observe that  $\mathcal{C}_9^3$  ( $\mathcal{C}_{10}^3$ ) tables coincide with  $\mathcal{C}_8^3$  and  $\mathcal{C}_7^3$ . Using the notation introduced in Section 6, these tables are denoted as  $\mathcal{C}_\infty^3$ .

Analogous considerations may be repeated for all the other discrete locations.

Now, consider the optimal control problem (18) with no bound on the maximum number of available switches.

By virtue of the above convergence properties, this problem can be solved by using only the tables  $\mathcal{C}_\infty^i$ , for  $i \in \mathcal{S}$ , as described in Section 6. These tables are not reported here for sake of brevity.

Assume that the initial state is still equal to  $x_0 = [0.1 \ 0]^T$  and  $i_0 = 1$ .

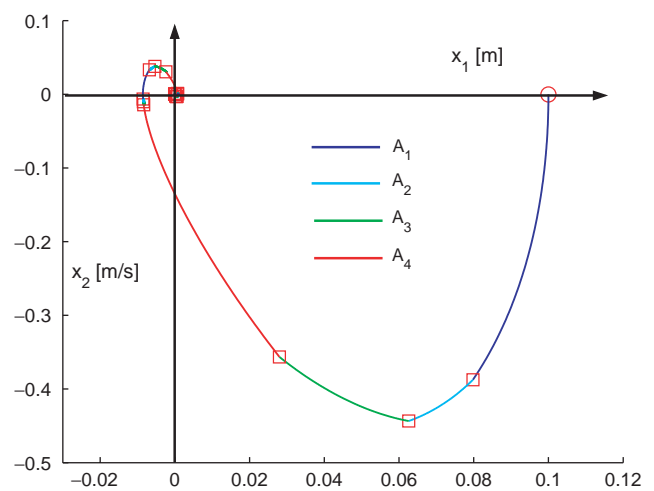


Fig. 7. The results of Simulation 2: the state trajectory.

The state trajectory that minimizes the performance index is reported in Fig. 7 where the circle indicates the initial state and the squares indicate the values of the state at the switching times. It can be easily observed that this trajectory is practically coincident with that in Fig. 7. This clearly occurs because after the first 6 switches, the system has practically reached the origin. As a consequence, the optimal value of the performance

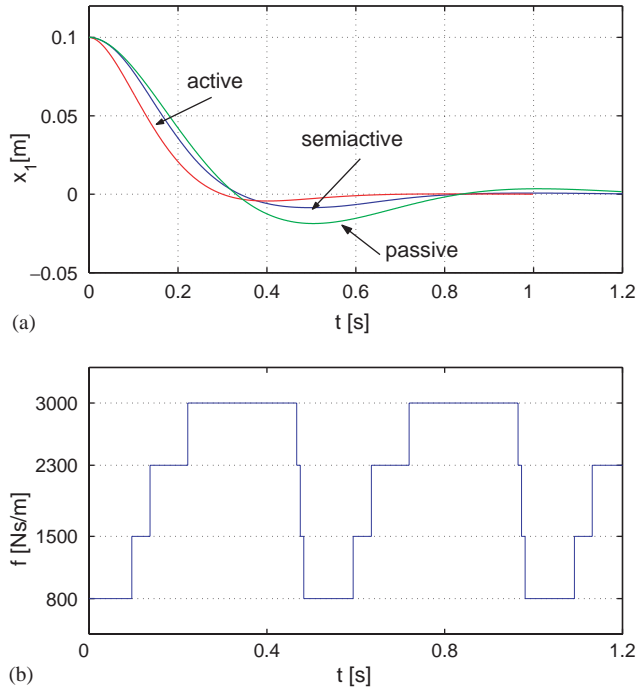


Fig. 8. The results of Simulation 2: (a) the time evolution of the sprung mass displacement and (b) the different values of  $f$  used by the semiactive suspension.

index  $J^*$  is practically the same, as it can be read in Table 1.

In Fig. 8a are reported the sprung mass displacement of the semiactive suspension together with that of the fully active suspension considered as a target, and that of a completely passive suspension (Corrigan et al., 1996). In Fig. 8b one can see the different values of the damping coefficient  $f$  during the numerical simulation. Note that the periodicity of the switching sequence is a consequence of the particular example (second order system, rotating dynamics), but it is not a general result.

### 7.5. Simulations on the fourth order model

The results of some numerical simulations carried out on the fourth order dynamical model of the suspension system are presented.

As in the previous case, a different weighting matrix is associated to each discrete location, or equivalently to each value of  $f$ . In particular, it is assumed that

$$\begin{aligned} Q_{i(t)} &= Q(f(t)) \\ &= \text{diag}\{1, 0, 10, 0\} + 0.8 \times 10^{-9} \\ &\quad \times [\lambda_s f(t) \ 0 \ -f(t)]^T \times [\lambda_s f(t) \ 0 \ -f(t)]. \end{aligned}$$

In such a way, by virtue of Eq. (13), one can perform a significant comparison, in terms of performance index, among the proposed semiactive suspension and an active suspension system, considered as a target, and

obtained by solving an LQR problem where  $Q = \text{diag}\{1, 0, 10, 0\}$  and  $R = 0.8 \times 10^{-9}$  (Giua et al., 1999).

Now, consider the most realistic case of  $N = \infty$ .

As already explained above, the  $N \times s$  switching tables for a “sufficiently” large value of  $N$  are computed until is observed a value  $k < N$  such that for all  $i \in \mathcal{S}$ ,  $\mathcal{C}_k^i = \mathcal{C}_{k+1}^i = \dots = \mathcal{C}_N^i$ . In this case  $N = 6$  and the convergence occurs for  $k = 5$ . Thus, it is assumed that  $\mathcal{C}_\infty^i = \mathcal{C}_6^i$ ,  $i = 1, \dots, 4$ .

These switching tables are not reported here because a significant graphical representation is not possible.<sup>3</sup>

Assume that the initial state is  $x_0 = [0.1 \ 0 \ 0.01 \ 0]^T$  and  $i_0 = 1$ .

In Figs. 9a and b are reported the sprung mass and the unsprung mass displacement of the semiactive suspension together with that of the fully active suspension considered as a target, and that of a completely passive suspension (Corrigan et al., 1996). In particular, by looking at plot (a) that shows the most significant variable, one can conclude that the semiactive system guarantees better performance than the passive one. In fact, in such a case, the behaviour of the semiactive suspension system in terms of the sprung mass displacement, is quite similar to that obtained using the purely active system. Finally, in Fig. 9c one can see the different values of the damping coefficient  $f$  during the numerical simulation.

A comparison among the semiactive, the active and the passive suspension in terms of performance index is given in Table 2. Note that in this table are also reported the results of other numerical simulations carried out for different values of the initial state  $x_0$ . One may conclude that the proposed semiactive suspension provides an intermediate performance between that of the passive suspension and that of the purely active one.

## 8. Conclusions

A special class of autonomous linear switched systems is considered, where: (a) the allowed mode switches are described by an automaton where to each state is associated a dynamics, and to each transition a switch; (b) the interval between two consecutive switching times is bounded from below. For this class it has been shown that it is possible to extend the results presented in (Bemporad et al., 2002b) based on the construction of “switching tables” to solve an optimal control problem with a state-feedback. It was also shown how the same approach can be used to deal with the case of an infinite number of available switches.

The proposed approach has been applied to design a semiactive suspension system for road vehicles. A hybrid

<sup>3</sup>See the appendix for a brief description of the algorithm that allowed the numerical construction of the tables in  $\mathbb{R}^4$ .

model of the quarter-car semiactive suspension system has been considered, where each linear dynamics corresponds to a given value of the damping coefficient  $f$ . The results of some numerical simulations are presented and the comparison with both passive and active suspensions is also shown.

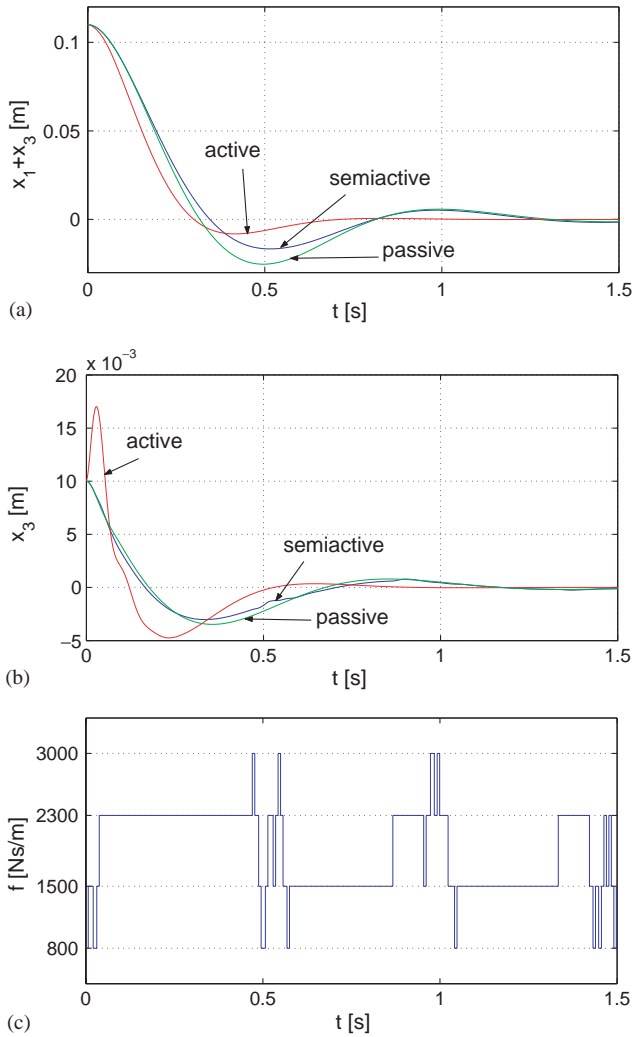


Fig. 9. The results of the simulation carried out on the fourth order model: (a) the time evolution of the sprung mass displacement ( $x_1 + x_3$ ); (b) the unsprung mass displacement  $x_3$  and (c) the different values of  $f$  used by the semiactive suspension.

Table 2  
The results of the numerical simulations carried out on the fourth order model

$x_0$	Semiactive	Active	Passive
$x_0 = [0.100 \ 0 \ 0.010 \ 0]^T$	$1.775 \times 10^{-3}$	$1.591 \times 10^{-3}$	$1.829 \times 10^{-3}$
$x_0 = [-0.050 \ 0.300 \ -0.005 \ 0.010]^T$	$2.423 \times 10^{-4}$	$2.374 \times 10^{-4}$	$2.976 \times 10^{-4}$
$x_0 = [0.050 \ 0.300 \ 0.005 \ 0.010]^T$	$1.011 \times 10^{-3}$	$8.200 \times 10^{-4}$	$1.052 \times 10^{-3}$
$x_0 = [0.010 \ -0.300 \ 0.010 \ 0.100]^T$	$1.678 \times 10^{-4}$	$1.164 \times 10^{-4}$	$2.175 \times 10^{-4}$
$x_0 = [0 \ 0.400 \ 0.010 \ 0.300]^T$	$3.513 \times 10^{-4}$	$3.109 \times 10^{-4}$	$4.312 \times 10^{-4}$
$x_0 = [-0.080 \ -0.100 \ 0.012 \ 0.400]^T$	$1.144 \times 10^{-3}$	$8.903 \times 10^{-4}$	$1.151 \times 10^{-3}$

## Appendix A

In this appendix are presented some basic steps that allow one to apply the procedure of the tables construction in  $\mathbb{R}^4$ , used to carry out the simulations described in Section 7.5.

The main computational effort is the discretization of the state space. However the structure of the problem, i.e., quadratic performance index with infinite time horizon and null switching costs, lead to switch conveniently to polar coordinates. Moreover the computation is carried out only on the unitary “hyper” semi-sphere, thus reducing to a third order discretization.

First construct the relation between polar and cartesian system in  $\mathbb{R}^n$ . The  $n$  polar coordinates are composed of 1 radius  $\rho$  and  $n - 1$  angles. Given a point  $x = [x_1, x_2, \dots, x_n]$ , clearly  $\rho_n = \|x\|$ . Indicate with  $\theta_n$  the angle formed by vector  $x$  and the “hyper” plane  $x_n = 0$ ; assign  $x_n = \rho_n \sin(\theta_n)$ . Now consider the equation of the “hyper” sphere  $\sum_{i=1}^n \|x_i\|^2 = \rho_n^2$ , that, according to the previous assignments, becomes:

$$\sum_{i=1}^{n-1} \|x_i\|^2 = \rho_n^2(1 - \sin^2(\theta_n)) = \rho_{n-1}^2, \quad (19)$$

where  $\rho_{n-1} = \rho_n \cos(\theta_n)$ . Proceed now in the same manner considering the “hyper” sphere of equation (19), in  $\mathbb{R}^{n-1}$ . This can be repeated until the space is reduced to  $\mathbb{R}^2$ . The set:

$$\begin{cases} x_n = \rho_n \sin(\theta_n) \\ x_{n-1} = \rho_{n-1} \sin(\theta_{n-1}) \\ \vdots \\ x_3 = \rho_3 \sin(\theta_3) \\ x_2 = \rho_2 \sin(\theta_2) \\ x_1 = \rho_2 \cos(\theta_2) \end{cases}$$

is obtained, where  $\rho_i = \rho_{i+1} \cos(\theta_i)$  for  $i = n - 1 \dots 2$ . To describe  $\mathbb{R}^n$ , variables must range in:  $\rho_n \in [0, +\infty)$ ,  $\theta_3, \dots, \theta_n \in [-\pi/2, \pi/2]$  and  $\theta_2 \in [0, 2\pi)$ .

In this particular problem  $n = 4$  and to describe the unitary “hyper” semi-sphere one could restrict  $\rho_4 = 1$  and  $\theta_4 \in [0, \pi/2]$ , by virtue of the properties mentioned above. To get rid of indexes call  $\theta_4 = \xi$ ,  $\theta_3 = \varphi$  and  $\theta_2 = \vartheta$ .

Note that a uniform discretization for each angle brings to areas with high density of points (think of the grid on the earth surface at the poles) but an equally spaced grid is needed. The following criteria, namely of constant arc length, can be used:

1. define nominal values of discretization  $N_\vartheta$ ,  $N_\varphi$ ,  $N_\xi$ ; since  $\vartheta \in [0, 2\pi)$ ,  $\varphi \in [-\pi/2, \pi/2]$  and  $\xi \in [0, \pi/2]$  then  $N_\vartheta = 2N_\varphi = 4N_\xi$  can be chosen proportional to the respective range of each variable;
2. discretize  $\xi$  uniformly, i.e.,  $\xi_i = i(\pi/2N_\xi)$ ,  $i = 0, \dots, N_\xi$ ;
3. denoted by  $\text{round}(\cdot)$  a function that approximates to the closest integer, for every  $\xi_i$  define  $\bar{N}_\varphi = \text{round}(N_\varphi \cos(\xi_i))$  and discretize  $\varphi$  uniformly, i.e.,  $\varphi_j = -(\pi/2) + j(\pi/\bar{N}_\varphi)$ ,  $j = 0, \dots, \bar{N}_\varphi - 1$ ;
4. for every  $\xi_i$  and  $\varphi_j$  define  $\bar{N}_\vartheta = \text{round}(N_\vartheta \cos(\xi_i)\cos(\varphi_j))$  and discretize  $\vartheta$  uniformly, i.e.,  $\vartheta_k = k \frac{2\pi}{\bar{N}_\vartheta}$ ,  $k = 0, \dots, \bar{N}_\vartheta - 1$

With such criteria a grid of  $N \simeq N_\xi N_\varphi N_\vartheta / 3$  is obtained. The algorithm of the tables construction is based on the calculation of a cost function  $J$  in each point of this grid. To contain the level of discretization and to guarantee a significant accuracy on  $J$ , an interpolation criteria is required. When a point  $x$  is not in the grid, the cost values in the  $H \leq 8$  points of the grid around  $x$ , namely  $x_1, \dots, x_H$ , can be used. Thus, defined  $d_i = \|x - x_i\|^{-1}$ ,  $i = 1 \dots H$  the approximation  $J(x) = \sum_1^H d_i J(x_i) / \sum_1^H d_i$  was considered acceptable.

The trade-off value  $N_\xi = 15$  was chosen, giving a discretization of 8581 points. Running in MATLAB environment on a pentium III 450 MHz the computational time per region is about 60 hours. Note that these burdensome calculations are performed off-line.

## References

- Antsaklis, P. (2000). Special issue on hybrid systems, *Proceedings of the IEEE* 88(7).
- Bemporad, A., et al. (2002a). On the optimal control law for linear discrete time hybrid systems. In *Hybrid systems: Computation and control. Lecture Notes in Computer Science*, Vol. 2289, Berlin, Springer, pp. 105–119.
- Bemporad, A., et al. (2002b). Synthesis of state-feedback optimal controllers for continuous time switched linear systems. In *Proceedings of the 41th IEEE conference on decision and control*, Las Vegas, USA (pp. 3182–3187).
- Bemporad, A., et al. (2003). Optimal state-feedback quadratic regulation of linear hybrid automata. In *Conference on analysis and design of hybrid systems*, St. Malò, France (pp. 407–412).
- Branicky, M., et al. (1998). A unified framework for hybrid control: model and optimal control theory. *IEEE Transactions of Automatic Control*, 43(1), 31–45.
- Corriga, G., et al. (1991). An optimal tandem active—passive suspension for road vehicles with minimum power consumption. *IEEE Transactions of Industrial Electronics*, 38(3), 210–216.
- Corriga, G., et al. (1996). An  $H_2$  formulation for the design of a passive vibration— isolation system for cars. *Vehicle Systems Dynamics*, 26, 381–393.
- Giua, A., et al. (1999). Semiactive suspension design with an optimal gain switching target. *Vehicle System Dynamics*, 31, 213–232.
- Giua, A., et al. (2001a). Optimal control of autonomous linear systems switched with a pre—assigned finite sequence. In *Proceedings of the 2001 IEEE international symposium on intelligent control Mexico City, Mexico* (pp. 144–149).
- Giua, A., et al. (2001b). Optimal control of switched autonomous linear systems. In *Proceedings of the 40th IEEE conference on decision and control*, Orlando, USA (pp. 2472–2477).
- Giua, A., et al. (2004). Design of a predictive semiactive suspension system. *Vehicle Systems Dynamics* 41(4).
- Gokbayrak, K., & Cassandras, C. (1999). A hierarchical decomposition method for optimal control of hybrid systems. In *Proceedings of the 38th IEEE conference on decision and control*, Phoenix, USA (pp. 1816–1821).
- Göring, E., et al. (1993). *Intelligent suspension systems for commercial vehicles*. Lyon, France (pp. 1–12).
- Hac, A. (1985). Suspension optimization of a 2-DOF vehicle model using stochastic optimal control technique. *Journal of Sound and Vibration*, 100(3), 343–357.
- Hedlund, S., & Rantzer, A. (1999). Optimal control of hybrid systems. In *Proceedings of the 38th IEEE conference on decision and control*, Phoenix, USA (pp. 3972–3976).
- Kitching, K., et al. (2000). Performance of semi-active damper for heavy vehicles. *ASME Journal of Dynamic Systems Measurement and Control*, 122, 498–506.
- Nicollin, X., et al. (1993). An approach to the description and analysis of hybrid systems. In *Hybrid systems, Lecture Notes in Computer Science*, Berlin: Springer (pp. 149–178).
- Ogata, K. (1990). *Modern control engineering*. Englewood Cliffs, NJ: Prentice Hall International Editions.
- Piccoli, B. (1999). Necessary conditions for hybrid optimization. In *Proceedings of the 38th IEEE conference on decision and control*, Phoenix, USA (pp. 410–415).
- Riedinger, P., et al. (1999). Linear quadratic optimization for hybrid systems. In *Proceedings of the 38th IEEE conference on decision and control*, Phoenix, USA (pp. 3059–3064).
- Roberti, V., et al. (1993). Oleopneumatic suspension with preview semi-active control law. In *Proceedings of the International Congress MV2, active control in mechanical engineering*, Lyon, France.
- Sammier, D., et al. (2002). Commande par placement de poles de suspensions automobiles. In *Conf. Int. Francophone d'Automatique*. Nantes, France (in French).
- Sussmann, H. (1999). A maximum principle for hybrid optimal control problems. In *Proceedings of the 38th IEEE conference on decision and control*, Phoenix, USA (pp. 425–430).
- Thompson, A. (1976). An active suspension with optimal linear state feedback. *Journal of Sound and Vibration*, 5, 187–203.
- Xu, X., & Antsaklis, P. (2002). An approach to switched systems optimal control based on parameterization of the switching instants. In *Proceedings of IFAC world congress*, Barcelona, Spain, 2002.